

NISTIR 6030

**THIRTEENTH MEETING OF THE UJNR
PANEL ON FIRE RESEARCH AND SAFETY,
MARCH 13-20, 1996**

VOLUME 1

Kellie Ann Beall, Editor

June 1997
Building and Fire Research Laboratory
National Institute of Standards and Technology
Gaithersburg, MD 20899



U.S. Department of Commerce
William M. Daley, *Secretary*
Technology Administration
Gary R. Bachula, *Acting Under Secretary for Technology*
National Institute of Standards and Technology
Robert E. Hebner, *Acting Director*

Progress Report on Design, Risk, Hazard, and Performance-Based Codes

John R. Hall, Jr.
National Fire Protection Association
1 Batterymarch Park, P.O. Box 9101
Quincy, Massachusetts 02269-9101

Abstract

Recent activity in the US regarding fire risk and hazard analysis has included validation and data development but has primarily emphasized the steps required to refine such calculation methods for use in performance-based design and codes, including the development of appropriate organizational arrangements and procedures.

Institutionalization of Fire Hazard and Risk Analysis

Since the last UJNR meeting, there has been an unprecedented level of activity in the area of fire risk and hazard analysis methods, particularly as applied to performance-based design, codes and standards. Much of this activity has focused on organizational arrangements and innovative procedures to make engineered fire safety routine, as opposed to new approaches to the calculation methods themselves. Also, this work is increasingly multi-national, even global, which makes it increasingly difficult to isolate progress in the US. Accordingly, this progress report includes reference to work by some non-US researchers where that work has proved particularly influential in the US.

Several important papers were delivered or published on the subject of general approaches to fire risk or hazard analysis suitable for use in a performance-based code [5, 17, 40]. Most were general, but one addressed trade-offs of several major components of fire protection (detection, suppression, construction) without addressing all components (e.g., omitting prevention and evacuation) [6]. The US-based American Society for Testing and Materials (ASTM) published a standard which contains the format to be used in ASTM to write standards (typically standards for products that could burn) using a fire hazard assessment format [41]. A second standard, based on the first, will provide guidance in writing fire risk assessment standards and is now being voted on.

Other papers focused specifically on the steps required to reshape existing code-writing procedures to accommodate performance-based approaches [8, 20, 24, 37, 38]. Several of these papers were intended to acquaint important US fire safety organizations, such as the National Fire Protection Association [36] and the Society of Fire Protection Engineers [28], with the status of performance-based code design around the world.

Still other work has served to assemble known methods of fire risk or hazard assessment in one place so as to facilitate application of the methods

and education of prospective users. Examples include the publication of basic documentation on CFAST [32] and FPEtool [9], two multi-part methods capable of modeling many aspects of fire and serving as the fire effects core of fire hazard or risk assessment models. Others include compilations of available methods applicable to fire risk analysis for products [18], buildings [45], industrial settings [2], and rail transportation properties [33].

Within the US, much attention has been given to emerging national performance-based code approaches in Australia [3], Canada [7, 16, 19, 23, 35, 46], and New Zealand [4]. Each has been used as a basis for design choices in the US, but with the major difference that fire codes in the US are not operated through an agency of the national government. Changes must be implemented through several private groups that develop model codes.

Components of Fire Hazard and Risk Analysis for Performance-Based Designs or Codes

There is now general agreement on the major elements required to support the institutionalized use of fire risk or hazard analysis methods within a performance-based code: (a) identification and documentation of suitable fire models whose valid uses and limitations are known and whose capabilities have been validated; (b) identification and development of data appropriate to those models and the intended applications of them; (c) fire safety goals, objectives, criteria, acceptable levels, and safety factors that translate the national consensus of values into a form suitable to guide analysis to evaluate designs; (d) identification of fire scenarios that can be analyzed using the models and the data and thereby used to define the conditions under which the fire safety goals must be met; and (e) provisions for education, certification, or accountability of fire safety engineers or others who may be asked to show that goals will be met.

Since the last UJNR meeting, there have been a number of papers published on validation of models relevant to the construction of fire hazard and risk assessment methods [14, 19, 25, 34].

Other papers have addressed data. Some have provided specific data addressed to particular issues, including factors in the propensity of different cigarettes to cause fires [21]; human behavior when confronted with a major incident in a high-rise building [12]; behavior changes induced by changes in cigarette lighter design, which may affect the impact of the design on fire risk [43]; factors leading to high vs. low fire risk in residential settings [39]; fire conditions associated with most fire deaths due to toxic effects [15]; and new probabilistic measures of the effects of sprinklers [29]. More general reviews of data needs or data usage procedures also appeared [30], some specific to special environments, such as spacecraft [1]. One article provided a compilation of the implied cost per year of life saved for each of 500 US government regulations, including

many related to fire [42]. Yet another article addressed the issue of safety factors in general terms [26].

A number of articles appeared providing material on fire risk or hazard analysis in industrial settings [22], including spacecraft [1], chemical process industries [2], offshore oil platforms [13, 31, 44], and nuclear power plants [27].

Finally, miscellaneous papers addressed fire-related issues of risk perception [11] and the application to fire safety engineering of some less-recognized methods developed in the field of operations research, such as the analytical hierarchy procedure for addressing multiple objectives [10].

References

1. G.E. Apostolakis et al., "Experimental needs for spacecraft risk assessment," *Proceedings of the Fourth Symposium of the International Association for Fire Safety Science*, 1994, pp. 949-960.
2. Thomas F. Barry, "An introduction to quantitative risk assessment in chemical process industries," Chapter 5-12, *SFPE Handbook of Fire Protection Engineering*, 1995, pp. 5-102-5-127.
3. V.R. Beck, "Fire research lecture 1993: Performance based fire safety design -- Recent developments in Australia," *Fire Safety Journal*, 1994, pp. 133-158.
4. A.H. Buchanan, "Fire engineering for a performance based fire code," *Fire Safety Journal*, 1994, pp. 1-16.
5. R. Bukowski, "A review of international fire risk prediction methods," *Proceedings of the Sixth International Fire Conference, Interflam '93*, London: Interscience Communications, Ltd., 1993, pp. 437-446.
6. R.W. Bukowski, *Balanced design concepts workshop, June 30, July 1-2, 1993*, Gaithersburg, Maryland: National Institute of Standards and Technology, NISTIR 5264, September 1993.
7. A. Cornelissen, "Risk-cost assessment for non-residential buildings," *Proceedings of the Sixth International Fire Conference, Interflam '93*, London: Interscience Communications, Ltd., 1993, pp. 331-342.
8. G. Deakin and G. Cooke, "Future codes for fire safety design," *Fire Safety Journal*, 1994, pp. 193-218.
9. S. Deal, *Technical reference guide for FPETool version 3.2*, Gaithersburg, Maryland: National Institute of Standards and Technology, NISTIR 5486, August 1994.

10. F.J. Dodd and H.A. Donegan, "Prioritisation methodologies in fire safety evaluation," *Fire Technology*, Second quarter, 1994, pp. 232-249.
11. John Eyles et al., "The social construction of risk in a rural community: Responses of local residents to the 1990 Hagersville (Ontario) tire fire," *Risk Analysis*, June 1993, pp. 281-290.
12. Rita F. Fahy and Guylene Proulx, "Collective common sense: A study of human behavior during the World Trade Center evacuation," *NFPA Journal*, March/April 1995, pp. 59-67.
13. M. Finucane, "The adoption of performance standards in offshore fire and explosion hazard management," *Fire Safety Journal*, 1994, pp. 171-184.
14. P. Gandhi, "Validation of FAST for room corner fire tests," *Proceedings of the Sixth International Fire Conference, Interflam '93*, London: Interscience Communications, Ltd., 1993, pp. 331-342.
15. R.G. Gann et al., "Fire conditions for smoke toxicity measurement," *Fire and Materials*, May/June 1994, pp. 193-199.
16. George V. Hadjisophocleous and David Yung, "Parametric study of the NRCC fire risk-cost assessment model for apartment and office buildings," *Proceedings of the Fourth Symposium of the International Association for Fire Safety Science*, 1994, pp. 829-840.
17. J. Hall, "Practical rules for selecting fire science tools appropriate to the decision to be made," *Proceedings of the Sixth International Fire Conference, Interflam '93*, London: Interscience Communications, Ltd., 1993, pp. 75-82.
18. John R. Hall, Jr., "Product fire risk," Chapter 5-10, *SFPE Handbook of Fire Protection Engineering*, 1995, pp. 5-87-5-94.
19. Akihiko Hokugo, David Yung, and George V. Hadjisophocleous, "Experiments to validate the NRCC smoke movement model for fire risk-cost assessment," *Proceedings of the Fourth Symposium of the International Association for Fire Safety Science*, 1994, pp. 805-816.
20. Peter F. Johnson, "International implications of performance based fire engineering design codes," *Journal of Fire Protection Engineering*, 1993, pp. 141-146.
21. Michael J. Karter, Jr. et al., "Cigarette characteristics, smoker characteristics, and the relationship to cigarette fires," *Fire Technology*, Fourth quarter, 1994, pp. 400-431.
22. D. Karydas, "A probabilistic methodology for the fire and smoke hazard analysis of electronic equipment," *Proceedings of the Sixth International*

Fire Conference, Interflam '93, London: Interscience Communications, Ltd., 1993, pp. 509-518.

23. H. Katzin and M. Khoury, "Fire risk analysis and assessment for the Canadian building code assessment framework," *Proceedings of the Sixth International Fire Conference, Interflam '93*, London: Interscience Communications, Ltd., 1993, pp. 699-708.

24. David A. Lucht, Charles H. Kime, and Jon S. Traw, "International developments in building code concepts," *Journal of Fire Protection Engineering*, 1993, pp. 125-133.

25. M. Luo and V. Beck, "The fire environment in a multi-room building -- comparison of predicted and experimental results," *Fire Safety Journal*, 1994, pp. 413-438.

26. S.E. Magnusson et al., "Determination of safety factors in design based on performance," *Proceedings of the Fourth Symposium of the International Association for Fire Safety Science*, 1994, pp. 937-948.

27. F.D. Mawby and A.P. Haighton, "The approach adopted in England and Wales in defining the level of fire safety at nuclear power stations," *Fire Safety Journal*, 1994, pp. 185-192.

28. Brian J. Meacham, "International development and use of performance-based building codes and fire safety design methods," *SFPE Bulletin*, March/April 1995, pp. 7-16.

29. S.J. Melinek, "Effectiveness of sprinklers in reducing fire severity," *Fire Safety Journal*, 1993, pp. 299-312.

30. Harold E. Nelson and Eric W. Forssell, "Use of small-scale test data in hazard analysis," *Proceedings of the Fourth Symposium of the International Association for Fire Safety Science*, 1994, pp. 971-982.

31. Elisabeth Pate-Cornell, "Managing fire risk onboard offshore platforms: lessons from piper alpha and probabilistic assessment of risk reduction measures," *Fire Technology*, May 1995, pp. 99-119.

32. R.D. Peacock et al., *CFAST, the consolidated model of fire growth and smoke transport*, Gaithersburg, Maryland: National Institute of Standards and Technology, NIST TN 1299, February 1993.

33. R.D. Peacock et al., "New concepts for fire protection of passenger rail transportation vehicles," *Second International Fire and Materials Conference*, London: Interscience Communications, Ltd., 1993, pp. 171-180.

34. R.D. Peacock, W.W. Jones, and R.W. Bukowski, *Verification of a model of fire and smoke transport*, *Fire Safety Journal*, 1993, pp. 89-129.

35. Guylene Proulx and George Hadjisophocleous, "Occupant response model: A sub-model for the NRCC risk-cost assessment model," *Proceedings of the Fourth Symposium of the International Association for Fire Safety Science*, 1994, pp. 841-852.
36. Milosh Puchovsky et al., "NFPA's future in performance-based codes," Quincy, MA: National Fire Protection Association, July 1995.
37. J.K. Richardson, "Moving toward performance-based codes," *NFPA Journal*, May/June 1994, pp. 70-78.
38. J. Kenneth Richardson, "Changing the regulatory system to accept fire safety engineering methods," *Journal of Fire Protection Engineering*, 1993, pp. 135-140.
39. Carol W. Runyan et al., "Risk factors for fatal residential fires," *Fire Technology*, Second quarter, 1993, pp. 183-193.
40. J. Snell, A. Fowell, and V. Babrauskas, "Elements of a framework for fire safety engineering," *Proceedings of the Sixth International Fire Conference, Interflam '93*, London: Interscience Communications, Ltd., 1993, pp. 447-456.
41. *Standard Guide for Development of Fire-Hazard-Assessment Standards*, ASTM E 1546, Philadelphia: ASTM, 1993.
42. Tammy O. Tengs et al., "Five-hundred life-saving interventions and their cost-effectiveness," *Risk Analysis*, June 1995, pp. 369-390.
43. W. Kip Viscusi and Gerald O. Cavallo, "The effect of product safety regulation on safety precautions," *Risk Analysis*, December 1994, pp. 917-930.
44. R. Brady Williamson, W. Gale, Jr., and R. Bea, "Fire safety assessment for offshore platforms," *Proceedings of the Sixth International Fire Conference, Interflam '93*, London: Interscience Communications, Ltd., 1993, pp. 797-807.
45. David Yung and Vaughan R. Beck, "Building fire safety risk analysis," Chapter 5-11, *SFPE Handbook of Fire Protection Engineering*, 1995, pp. 5-95-5-101.
46. D. Yung, G. Hadjisophocleous, and H. Takeda, "Comparative risk assessments of 3-storey wood-frame and masonry construction apartment buildings," *Proceedings of the Sixth International Fire Conference, Interflam '93*, London: Interscience Communications, Ltd., 1993, pp. 499-508.

Discussion

Henry Mitler: Could you give us some numbers about the value of lives saved?

John Hall: The study I referred to examined 500 different U.S. Government-related programs and analyzed their cost and of the number of lives that they saved. Their calculated ratios found, as one might expect, a tremendous variation: multiple orders of magnitude in the value of life that those agencies had achieved.

Professor Pagni: I too was very interested in the study of life evaluation. Can you tell us which of your references gives that information in detail and can you tell us anything more about it?

John Hall: Look for reference 42 in the written paper.